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Docket 83590AEK
Customer No. 01333

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Donald R. Diehl, et al

SYNTHESIS OF PEPTOID
SUBSTITUTED AZOLE
COMPOUNDS

Serial No.

Filed

Commissioner for Patents
Washington, D.C. 20231

Group Art Unit:

Examiner:

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December 13, 2001
Date

JC927 U.S. PTO
10/021408
12/12/01

Sir:

INFORMATION DISCLOSURE STATEMENT FOR CONSIDERATION
BY THE OFFICE UNDER 37 C.F.R. 1.97-1.99

Enclosed herewith are patents and/or publications for consideration by the Patent and Trademark Office in regard to the invention claimed in the above-described application. In compliance with §1.56, such documents are listed in the enclosed Form PTO-1449.

Applicants request that the Patent and Trademark Office make of record the above-identified documents. Unless otherwise indicated, a full text copy of each document is attached. For documents not in English, an English translation or an equivalent English language patent or publication is attached. Where a translation is not available, a concise explanation of the relevance of each document not in English is included either here or in the specification.

This Information Disclosure Statement is submitted according to the following selected paragraph:

- I. ☒ This Statement is being filed under §1.97(b) within three months of the filing date of the application (other than a CPA), or before the first Office action on the merits or before mailing of a first Office action after the filing of a request for continued examination.
- II. ☐ This Statement is being filed under §1.97(c), with fee, **prior** to either a final action, a notice of allowance or an action that otherwise closes prosecution in the application. Please charge the fee required by §1.17(p) to Eastman Kodak Company Deposit Order Account Number 05-0225. A duplicate copy of this Statement is enclosed.

III. ☐ This Statement is being filed under §1.97(c), with a statement under, §1.97(e) **prior** to either a final action, a notice of allowance or an action that otherwise closes prosecution in the application. The undersigned hereby states that (check one):

☐ each item of information contained in this Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement.

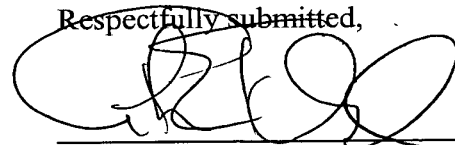
☐ no item of information in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing this certification statement under §1.97(e) after making reasonable inquiry, no item of information contained in this Statement was known to any individual designated in §1.56(c) more than three months prior to the filing of this Statement.

IV. ☐ This Statement is being filed under §1.97(d), with fee and statement under §1.97(e), on or after the mailing date of either a final action, a notice of allowance (but prior to payment of the issue fee) or an action that otherwise closes prosecution in the application. Please charge the fee required by §1.17(p) to Eastman Kodak Company Deposit Order Account No. 05-0225. A duplicate copy of this Statement is enclosed. The undersigned hereby states that (check one):

☐ each item of information in this Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement.

☐ no item of information in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing this certification Statement under §1.97(e) after making reasonable inquiry, no item of information contained in this Statement was known to any individual designated in §1.56(c) more than three months prior to the filing of this Statement.

Respectfully submitted,



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Enclosures

FORM PTO-1449 US DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		Atty. Docket No. 83590AEK Customer No. 01333		Serial No. <div style="text-align: center;"> JP927 U.S. PRO 10/021408 </div>	
If AFTER the later date of the first Office Action or 3 months from filing, use only with Rule 97(E) Certificate or Fee		Applicant: Donald R. Diehl, et al			
LIST OF ART CITED BY APPLICANT <i>(Use several sheets if necessary)</i>		Filing Date		Group	

U.S. PATENT DOCUMENTS						
Examiner Initial*	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	3,810,761	05/14/1974	Bailey, et al.			
	5,256,526	10/26/1993	Suzuki, et al.			
	5,451,501	09/19/1995	Mizukawa, et al.			
	5,605,788	02/25/1997	Kawagishi, et al.			
	5,925,503	07/20/1999	Harder, et al.			
	5,972,587	10/26/1999	Romanet, et al.			

FOREIGN PATENT DOCUMENTS						
Examiner Initial*	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO

OTHER ART <i>(Including Author, Title, Date, Pertinent Pages, Etc.)</i>	
	Ronald N. Zuckermann, Janice M. Kerr, Stephen B. H. Kent and Walter H. Moos, "Efficient Method for the Preparation of Peptoids Oligo(N-substituted blycines) by Submonomer Solid-Phase Synthesis", J. Am. Chem. Soc. 1992, 114, pp. 10646-10647
	Klaus Kirschke, Erich Wolff, Matthias Ramm, Gerhard Lutze and Burkhard Schulz, "Selective Acylations of 3(5)-Alkyl-5(3)-amino-1H-pyrazoles and a new Pyrazolo5,1-c-1,2,4-triazole-Synthesis", Liebigs Ann. Chem. 1994, 10, pp. 1037-1042
	Ronald N. Zuckermann, Eric J. Martin, David C. Spellmeyer, Gregory B. Stauber, Kevin R. Shoemaker, Janice M. Kerr, Gianine M. Figliozzi, Dane A. Goff, Michael A. Siani, Reyna J. Simon, Steven C. Banville, Edward G. Brown, Liang Wang, Lutz S. Richter, and Walter H. Moos, "Discovery of Nanomolar Ligands for 7-Transmembrane G-Protein-Coupled Receptors from a Diverse N-(Substituted)glycine Peptoid Library", J. Med. Chem. 1994, 37, pp. 2678-2685
	Susan M. Miller, Reyna J. Simon, Simon Ng, Ronald N. Zuckermann, Janice M. Kerr, Walter H. Moos, "Proteolytic Studies of Homologous Peptide and N-Substituted Glycine Peptoid Oligomers", Biorganic & Medicinal Chemistry Letters, 1994, pp. 2657-2662
	Anthony W. Czarnik and Sheila H. DeWitt, "A Practical Guide to Combinatorial Chemistry", ACS Professional Reference Books, 1997, pp., 35, 57, 266-272, and 349-351
	Barry A. Bunin, "The Combinatorial Index", Academic Press, New York, 1998, pp. 112-113
	Eric M. Gordon and James F. Kerwin, Jr., "Combinatorial Chemistry and Molecular Diversity in Drug Discovery", John Wiley & Sons, New York, 1998, pp. 151-163
	Donald R. Diehl, Robert J. Niger, Hans F. Schmitthenner, William J. Sonnefeld and Richard P. Dunlap, "Imaging Materials Containing Peptoid Substituted Azole Couplers", USSN 09/(D-83554) filed 12/12/2001

EXAMINER	DATE CONSIDERED
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.